

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Troy DeFrees-Parrott et al.

Atty. Docket: TPG 10400

Serial No. 09/848,473

Group Art Unit: 3714

Filed: May 3, 2001

Examiner: Mark Sager

**OFFICIAL**

Title of Invention: Gaming Machine Having A Lottery Game....

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**RECEIVED  
CENTRAL FAX CENTER****AUG 08 2004****INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Sir:

Enclosed is a Form PTO-1449 and copies of documents listed thereon. These documents are considered to be relevant in that they have been:

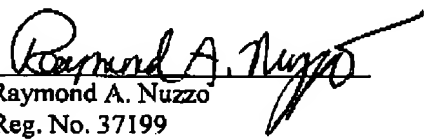
- ☒ located in a patent search conducted during the week of August 2, 2004;  
☐ obtained by applicant other than by a patent search;  
☐ considered in drafting the specification of the above-referenced application;  
☐ cited in the specification of the above-referenced application;  
☐ cited in an International Search Report or IPE report of a corresponding PCT application;  
☐ cited as an "X", "Y" or "A" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed. I hereby certify that these documents were cited in said search report not more than three months (3) ago.

If readily available, English-language counterparts have been substituted for foreign-language patent documents.

This disclosure is not an admission that any of these documents is material to or even prior art with respect to the above-referenced application.

<p align="center"><b>Certificate of Mailing</b></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated below as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450</p> <p>Date: _____</p> <p>Name: <u>Raymond A. Nuzzo</u></p> <p>Signature: _____</p>
--

Respectfully submitted,

By:   
Raymond A. Nuzzo  
Reg. No. 37199

Attorney for Applicants

Date: August 8, 2004

PTO/SB/08A (08-03)

Approved for use through 07/31/2008, OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/848,473
Filing Date	May 3, 2001
First Named Inventor	Troy DeFrees Parrott
Art Unit	3714
Examiner Name	M. Sager
Attorney Docket Number	TPG 10400

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,342,047	8/30/1994	Heidel	
		US- 5,645,486	7/8/1997	Nagao	
		US- 5,810,664	9/22/1998	Clapper	
		US- 5,813,911	9/29/1998	Margolin	
		US- 5,941,771	8/24/1999	Haste	
		US- 6,113,098	9/5/2000	Adams	
		US- 6,220,961 B1	4/24/2001	Keane	
		US- 6,224,484 B1	5/1/2001	Okuda	
		US- 6,251,014 B1	8/26/2001	Stockdale	
		US- 6,338,678 B1	1/15/2002	Seelig	
		US- 6,358,151 B1	3/19/2002	Enzminger	
		US- 6,524,184 B1	2/25/2003	Lind	
		US- 6,533,660 B2	3/18/2003	Seelig	
		US- 6,582,307 B2	6/24/2003	Webb	
		US- 6,764,396 B2	7/20/2004	Seelig	
		US- 6,503,147 B1	1/7/2003	Stockdale	
		US- 6,648,753 B1	11/18/2003	Tracy	
		US- 6,746,330 B2	6/8/2004	Cannon	
		US- 6,733,385 B1	5/11/2004	Enzminger	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinda Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.